

FRIDAY • OCTOBER 21, 2016

24TH ANNUAL

SECURITIES LITIGATION AND REGULATORY PRACTICE

6 CLE Hours including • 1 Professionalism Hour • 3 Trial Practice Hours

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EARLY REGISTRATION: \$260

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AGENDA

Presiding: *Paul N. Monnin*, Program Co-Chair, Paul Hastings LLP, Atlanta
W. Scott Sorrels, Program Co-Chair, Sutherland Asbill & Brennan LLP, Atlanta
Michael K. Wolensky, Program Co-Chair, Schiff Hardin LLP, Atlanta

8:15 **REGISTRATION** (All attendees must check in upon arrival. A jacket or sweater is recommended.)

9:00 **WELCOME AND PROGRAM OVERVIEW**
Michael K. Wolensky

There will be a 15-minute break in the morning and afternoon sessions

9:15 **ENFORCEMENT DEVELOPMENTS**

- **SEC and DOJ self-disclosure and cooperation programs**
- **Pursuit of culpable individuals, advisors and gatekeepers**
- **Evidence-gathering, including privacy restrictions and territorial limits**
- **Cybersecurity disclosure and enforcement**
- **Economic remedies: Forfeiture, restitution, disgorgement and civil penalties**
- **Whistleblower issues, including confidentiality provisions in separation agreements**
- **Professionalism: The role of corporate and individual counsel in enforcement investigations**

Moderator: *Paul N. Monnin*

Panelists:

Bruce A. Baird, Covington & Burling LLP, Washington, DC

Edward Gallagher, Senior Vice President, General Counsel & Secretary, NCR Corporation, New York, NY

Walter E. Jospin, Regional Director, Atlanta Regional Office, U.S. Securities & Exchange Commission, Atlanta

Benjamin Singer, Chief, Securities & Financial Frauds Unit, Fraud Section, Criminal Division, U.S. Department of Justice, Washington, DC

12:00 **LUNCH** (Included in registration fee)
Speaker: *Joseph K. Brenner*, Chief Counsel, Enforcement Division, U.S. Securities & Exchange Commission, Washington, DC

1:30 **DELAWARE DEVELOPMENTS**

- **The changing face of securities litigation in Delaware**

David J. Teklits, Morris, Nichols, Arsht & Tunnel LLP, Wilmington, DE

2:15 **THE DOL FIDUCIARY RULE**

- **The New Department of Labor Fiduciary Rule and Its Impact on Securities Litigation and Arbitration**

Moderator: *S. Lawrence Polk*, Sutherland Asbill & Brennan LLP, Atlanta

Panelists:
Olga Greenberg, Sutherland, Asbill & Brennan LLP, Atlanta
Robert C. Port, Gaslowitz Frankel, LLC, Atlanta

3:15 **LITIGATION DEVELOPMENTS**

- **Annual update on key litigation developments**
- **Evolving issues of representing and interviewing witnesses**
- **Claw-back exposure for executives (basis and related litigation analysis)**

Moderator: *W. Scott Sorrels*

Panelists:

Mary C. Gill, Alston & Bird LLP, Atlanta

James E. Connelly, Womble Carlyle Sandridge & Rice LLP, Atlanta

Tony G. Powers, Rogers & Hardin LLP, Atlanta

4:30 **ADJOURN**

CANCELLATION POLICY



Cancellations reaching ICLE by 5:00 p.m. the day before the seminar date will receive a registration fee refund less a \$15.00 administrative fee. Otherwise, the registrant will be considered a "no show" and will not receive a registration fee refund. Program materials will be shipped after the program to every "no show." Designated substitutes may take the place of registrants unable to attend.

SEMINAR REGISTRATION POLICY

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